

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Tong Shangguan *et al.*

Application No.: 10/500,933

Confirmation No.: 1561

Filed: January 8, 2003

Art Unit: 1635

For: EFFICIENT NUCLEIC ACID  
ENCAPSULATION INTO MEDIUM SIZED  
LIPOSOMES

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Examiner: R. A. Schnizer

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner:

Applicants hereby bring to the Examiner's attention the references listed on the accompanying form PTO-1449 in compliance with the requirements of 37 C.F.R. § 1.56 and 1.97(c). Pursuant to 37 C.F.R. § 1.98(2)(ii), Applicants have not enclosed copies of the cited U.S. patents or published applications. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Applicants have listed dates of publication on the attached PTO-1449 for the cited documents based on information presently available to the undersigned. The listed publication dates, however, should not be construed that the information in the cited documents was actually published or otherwise publicly available on the date indicated.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute “prior art.” Further, if the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute “prior art” under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Moreover, Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Pursuant to 37 C.F.R. § 1.97(c), this Information Disclosure Statement is being filed before the mailing date of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. Please charge the required fee of \$180.00 as prescribed in 37 C.F.R. § 1.17(p) or any additional fee occasioned by this paper to our **Deposit Account No. 06-1448, Reference TRA-027.01.**

Dated: October 13, 2009

Respectfully submitted,

/Hilary Dorr Lang/

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